

2166  
Patent #2  
JD8  
7/24/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:  
Stephen A. Smith, et al.  
Serial No. 09/827,531  
Filed: April 6, 2001  
For: Method Of And Apparatus For  
Forecasting Item Availability

Examiner: To be assigned  
Art Unit: 2166

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Cross Reference Under 37 C.F.R. § 1.78(a)(2)  
To Related Applications

Dear Sir:

Pursuant to 37 C.F.R. § 1.78(a)(2), applicants hereby cross-references the following related patent applications, which are assigned to the same assignee as the present patent application: U.S. Patent Application No. 09/827,479, filed on April 6, 2001, and U.S. Patent Application No. 09/827,620, filed on April 6, 2001.

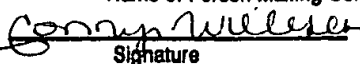
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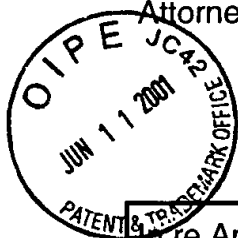
Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6/7, 2001   
Daniel E. Ovanezian  
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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

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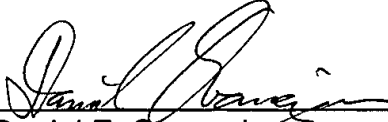
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 6/7, 2001

  
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number	09/827,531
Filing Date	April 6, 2001
First Named Inventor	Stephen A. Smith
Group Art Unit	2166
Examiner Name	To be assigned
Attorney Docket Number	005326.P003

Sheet  of

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
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## **FOREIGN PATENT DOCUMENTS**

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## **OTHER DOCUMENTS**

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	Smith, Stephen et al., "A Discrete Optimization Model for Seasonal Merchandise Planning," Jrl of Retailing, v 74(2), pp.193-221, (1998)	
	Agrawal, N., et al., "Estimating Negative Binomial Demand for Retail Inventory Management with Unobservable Lost Sales," Naval Research Logistics, v43, pp839-861 (1996).	
	Parzen, Emanuel, <u>Stochastic Processes</u> , Chapter 1, Holden-Day, Inc. Publishers (1962)	
	Johnson, N, and Kotz, S., <u>Discrete Distributions</u> , Chapter 5, Houghton Mifflin Co. Publishers (1969)	

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